# Week 5-6: The Binomial Coefficients

March 6, 2018

### **Pascal Formula** 1

**Theorem 1.1** (Pascal's Formula). For integers n and k such that  $n \ge k \ge 1$ ,

$$\binom{n}{k} = \binom{n-1}{k} + \binom{n-1}{k-1}.$$

The numbers  $\binom{n}{2} = \frac{n(n-1)}{2}$   $(n \ge 2)$  are **triangle numbers**, that is,



The **pentagon numbers** are  $1, 5, 12, 22, \ldots$ , defined as the numbers of points of dilated pentagons. Then  $a_n = a_{n-1} + 3n + 1$  for  $n \ge 1$  with  $a_0 = 1$ . Then  $a_n = \frac{3}{2}n^2 + \frac{5}{2}n + 1$ ,  $n \ge 1$ . The k-gon numbers are  $1, k, 3k - 3, 6k - 8, \dots$ The numbers  $\binom{n}{3} = \frac{n(n-1)(n-2)}{6}$   $(n \ge 3)$  are **tetrahedral numbers**, i.e.,  $\binom{n}{3}$  is the

number of lattice points of the tetrahedron  $\Delta^3(n)$  defined by

$$\Delta^{3}(n) = \{(x, y, z) : x \ge 0, y \ge 0, z \ge 0, x + y + z \le n - 3\}.$$

**Theorem 1.2.** The number of nondecreasing coordinate paths from (0,0) to (m,n) with  $m, n \geq 0$  equals

$$\binom{m+n}{m}$$

#### $\mathbf{2}$ **Binomial Theorem**

**Theorem 2.1** (Binomial Expansion). For integer  $n \ge 1$  and variables x and y,

$$(x+y)^n = \sum_{k=0}^n \binom{n}{k} x^k y^{n-k},$$
$$(1+x)^n = \sum_{k=0}^n \binom{n}{k} x^k.$$

## **3** Binomial Identities

**Definition 3.1.** For any real number  $\alpha$  and integer k, define the **binomial coefficients** 

$$\binom{\alpha}{k} = \begin{cases} 0 & \text{if } k < 0\\ 1 & \text{if } k = 0\\ \alpha(\alpha - 1) \cdots (\alpha - k + 1)/k! & \text{if } k > 0 \end{cases}$$

**Proposition 3.2.** (1) For real number  $\alpha$  and integer k,

$$\binom{\alpha}{k} = \binom{\alpha - 1}{k} + \binom{\alpha - 1}{k - 1}.$$

(2) For real number  $\alpha$  and integer k,

$$k\binom{\alpha}{k} = \alpha\binom{\alpha-1}{k-1}.$$

(3) For nonnegative integers m, n, and k such that  $m + n \ge k$ ,

$$\binom{m+n}{k} = \sum_{i=0}^{k} \binom{m}{i} \binom{n}{k-i}.$$

**Proposition 3.3.** For integers  $n, k \ge 0$ ,

$$\binom{n+1}{k+1} = \sum_{m=0}^{n} \binom{m}{k}$$

Proof. Applying the Pascal formula again and again, we have

$$\binom{n+1}{k+1} = \binom{n}{k+1} + \binom{n}{k}$$

$$= \binom{n-1}{k+1} + \binom{n-1}{k} + \binom{n}{k}$$

$$= \cdots$$

$$= \binom{0}{k+1} + \binom{0}{k} + \binom{1}{k} + \cdots + \binom{n}{k}$$

Note that  $\begin{pmatrix} 0\\k+1 \end{pmatrix} = 0.$ 

## 4 Multinomial Theorem

**Theorem 4.1** (Multinomial Expansion). For any positive integer n,

$$(x_1 + x_2 + \dots + x_k)^n = \sum_{\substack{n_1 + n_2 + \dots + n_k = n \\ n_1, n_2, \dots, n_k \ge 0}} \binom{n}{n_1, n_2, \dots, n_k} x_1^{n_1} x_2^{n_2} \cdots x_k^{n_k},$$

where the coefficients

$$\binom{n}{n_1, n_2, \dots, n_k} = \frac{n!}{n_1! n_2! \cdots n_k!}$$

are called multinomial coefficients.

Proof.

$$(x_{1} + x_{2} + \dots + x_{k})^{n} = \underbrace{(x_{1} + x_{2} + \dots + x_{k}) \cdots (x_{1} + x_{2} + \dots + x_{k})}_{n}$$

$$= \sum_{\substack{n \leq 1 \leq n \leq 1 \\ n \leq 1 \leq n \leq n \leq n \\ n_{1} + n_{2} + \dots + n_{k} = n \\ n_{1} + n_{2} + \dots + n_{k} \geq 0}} \left\{ \begin{array}{c} \text{number of permutations of the} \\ \text{multiset} \{n_{1}x_{1}, n_{2}x_{2}, \dots, n_{k}x_{k}\} \end{array} \right\}$$

$$= \sum_{\substack{n_{1} + n_{2} + \dots + n_{k} = n \\ n_{1}, n_{2}, \dots, n_{k} \geq 0}} \binom{n}{n_{1}, n_{2}, \dots, n_{k}} x_{1}^{n_{1}} x_{2}^{n_{2}} \cdots x_{k}^{n_{k}}.$$

## 5 Newton Binomial Theorem

**Theorem 5.1** (Newton's Binomial Expansion). Let  $\alpha$  be a real number. If  $0 \le |x| < |y|$ , then

$$(x+y)^{\alpha} = \sum_{k=0}^{\infty} {\alpha \choose k} x^k y^{\alpha-k},$$

where

$$\binom{\alpha}{k} = \frac{\alpha(\alpha-1)\cdots(\alpha-k+1)}{k!}.$$

*Proof.* Apply the Taylor expansion formula for the function  $(x+y)^{\alpha}$  of two variables.  $\Box$ Corollary 5.2. If |z| < 1, then

$$(1+z)^{\alpha} = \sum_{k=0}^{\infty} {\alpha \choose k} z^{k},$$
  
$$\frac{1}{(1-z)^{\alpha}} = \sum_{k=0}^{\infty} {-\alpha \choose k} (-z)^{k} = \sum_{k=0}^{\infty} {\alpha+k-1 \choose k} z^{k}.$$

The identity

$$\binom{-\alpha}{k} = (-1)^k \binom{\alpha+k-1}{k}.$$

is called the reciprocity law of binomial coefficients.

Proof. Apply the Taylor expansion formula.

In particular, since  $\frac{1}{1-z} = \sum_{i=0}^{\infty} z^i$ , we have

$$\frac{1}{(1-z)^n} = \left(\sum_{i_1=0}^{\infty} z^{i_1}\right) \cdots \left(\sum_{i_n=0}^{\infty} z^{i_n}\right)$$
$$= \sum_{k=0}^{\infty} z^k \sum_{i_1+\dots+i_n=k} 1$$
$$= \sum_{k=0}^{\infty} \binom{n+k-1}{k} z^k.$$

This shows again that the number of nonnegative integer solutions of the equation

$$x_1 + x_2 + \dots + x_n = k$$

equals the binomial coefficient

$$\left\langle {n \atop k} \right\rangle = \left( {n+k-1 \atop k} \right).$$

## 6 Unimodality of Binomial Coefficients

**Definition 6.1.** A sequence  $s_0, s_1, s_2, \ldots, s_n$  is said to be **unimodal** if there is an integer  $k \ (0 \le k \le n)$  such that

$$s_0 \leq s_1 \leq \cdots \leq s_k \geq s_{k+1} \geq \cdots \geq s_n.$$

**Theorem 6.2.** Let n be a positive integer. The sequence of binomial coefficients

$$\binom{n}{0}, \, \binom{n}{1}, \, \binom{n}{2}, \, \dots, \, \binom{n}{n}$$

is an unimodal sequence. More precisely, if n is even,

$$\binom{n}{0} < \binom{n}{1} < \dots < \binom{n}{n/2} > \dots > \binom{n}{n-1} > \binom{n}{n};$$

and if n is odd,

$$\binom{n}{0} < \binom{n}{1} < \dots < \binom{n}{(n-1)/2} = \binom{n}{(n+1)/2} > \dots > \binom{n}{n-1} > \binom{n}{n}.$$
  
f. Note that the quotient

*Proof.* Note that the quotient

$$\binom{n}{k} / \binom{n}{k-1} = \frac{n-k+1}{k} = \begin{cases} \geq 1 & \text{if } k \leq (n+1)/2 \\ \leq 1 & \text{if } k \geq (n+1)/2 \end{cases}$$

The unimodality follows immediately.

A sequence  $s_0, s_1, \ldots, s_n$  of positive numbers is said to be **log-concave** if

$$s_i^2 \ge s_{i-1}s_{i+1}, \quad i = 1, \dots, n-1.$$

The condition implies that the sequence  $\log s_1, \log s_2, \ldots, \log s_n$  are concave, i.e.,

$$\log s_i \ge (\log s_{i-1} + \log s_{i+1})/2.$$

**Proposition 6.3.** If a sequence  $(s_i)$  is log-concace, then it is unimodal.

*Proof.* Assume the sequence is nonzero. The condition  $s_i^2 \ge s_{i-1}s_{i+1}$  is equivalent to

$$\frac{s_{i-1}}{s_i} \le \frac{s_i}{s_{i+1}}.$$

If there exists an  $i_0$  such that  $s_{i_0} \leq s_{i_0+1}$ , i.e.,  $\frac{s_{i_0}}{s_{i_0+1}} \leq 1$ , then  $\frac{s_{i-1}}{s_i} \leq 1$  for all  $i \leq i_0$ , i.e.,

$$s_0 \le s_1 \le \dots \le s_{i_0} \le s_{i_0+1}.$$

If there exists an  $i_0$  such that  $s_{i_0-1} \ge s_{i_0}$ , i.e.,  $\frac{s_{i_0-1}}{s_{i_0}} \ge 1$ , then then  $\frac{s_{i-1}}{s_i} \ge 1$  for all  $i \ge i_0$ , i.e.,

$$s_{i_0-1} \ge s_{i_0} \ge \dots \ge s_{n-1} \ge s_n$$

Now for the nondecreasing numbers  $\frac{s_i}{s_{i+1}}$ , there exists an index  $i_0$  such that

$$\frac{s_{i_0-1}}{s_{i_0}} \le 1 \le \frac{s_{i_0}}{s_{i_0+1}}.$$

It follows that

$$s_0 \le s_1 \le \dots \le s_{i_0} \ge s_{i_0+1} \ge \dots \ge s_n$$

$$\frac{s_i^2}{s_{i-1}s_{i+1}} = \frac{(n-i+1)(i+1)}{i(n-i)} > 1, \quad i = 1, \dots, n-1.$$

Given a graph G with n vertices. A coloring of G with t colors is said to be *proper* if no two adjacent vertices receive the same color. The number of proper colorings turns out to be a polynomial function of t, called the chromatic polynomial of G, denoted  $\chi(G, t)$ , and it can be written as the form

$$\chi(G,t) = \sum_{k=0}^{n} (-1)^{n-k} a_k t^k.$$

**Conjecture 6.4** (Log-Concavity Conjecture). The coefficients of the above chromatic polynomial satisfies the log-concave equality:

$$a_k^2 \ge a_{k-1}a_{k+1}$$

When the inequalities are strict inequalities, it is called the Strict Log-Concavity Conjecture.

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A cluster of a set S is a collection  $\mathcal{A}$  of subsets of S such that no one is contained in another. A **chain** is a collection  $\mathcal{C}$  of subsets of S such that for any two subsets, one subset is always contained in another subset. For example, for  $S = \{a, b, c, d\}$ , the collection

$$\mathscr{A} = \left\{ \{a, b\}, \ \{b, c, d\}, \ \{a, c\}, \ \{a, d\} \right\}$$

is a cluster; while the collection

$$\mathscr{C} = \left\{ \varnothing, \ \{b,d\}, \ \{a,b,d\}, \ \{a,b,c,d\} \right\}$$

is a chain. In more general context, a cluster is an antichain of a partially ordered set.

**Theorem 6.5** (Sperner). Every cluster of an n-set S contains at most  $\binom{n}{\lfloor n/2 \rfloor}$  subsets of S.

*Proof.* Let  $S = \{1, 2, ..., n\}$ . We actually prove the following stronger result by induction on n:

The power set P(S) can be partitioned into disjoint chains  $\mathscr{C}_1, \mathscr{C}_2, \ldots, \mathscr{C}_m$  with

$$m = \binom{n}{\lfloor n/2 \rfloor}.$$

If so, then for each cluster  $\mathscr{A}$  of S,

$$|\mathscr{A} \cap \mathscr{C}_i| \le 1$$
 for all  $1 \le i \le m$ .

Consequently,

$$|\mathscr{A}| = \left|\mathscr{A} \cap \bigcup_{i=1}^{m} \mathscr{C}_{i}\right| = \sum_{i=1}^{m} |\mathscr{A} \cap \mathscr{C}_{i}| \le m = \binom{n}{\lfloor n/2 \rfloor}.$$

For n = 1,  $\binom{n}{\lfloor n/2 \rfloor} = \binom{1}{0} = 1$ ,  $\varnothing \subset \{1\}$ . For n = 2,  $\binom{n}{\lfloor n/2 \rfloor} = \binom{2}{1} = 2$ ,  $\varnothing \subset \{1\} \subset \{1, 2\}$ ,  $\{2\}$ .

For n = 3,  $\binom{n}{\lfloor n/2 \rfloor} = \binom{3}{1} = 3$ ,  $\emptyset \subset \{1\} \subset \{1, 2\} \subset \{1, 2, 3\},$  $\{2\} \subset \{2, 3\},$  $\{3\} \subset \{1, 3\}.$  For n = 4,  $\binom{n}{\lfloor n/2 \rfloor} = \binom{4}{2} = 6$ . The 6 chains can be obtained in two ways: (i) Attach a new subset at the end to each chain of the chain partition for n = 3 (this new subset is obtained by appending 4 to the last subset of the chain); (ii) delete the last subsets in all chains of the partition for n = 3 and append 4 to all the remaining subsets.

$$\begin{split} \varnothing \subset \{1\} \subset \{1,2\} \subset \{1,2,3\} \subset \{1,2,3,4\}, \\ \{2\} \subset \{2,3\} \subset \{2,3,4\}, \\ \{3\} \subset \{1,3\} \subset \{1,3,4\}, \\ \{4\} \subset \{1,4\} \subset \{1,2,4\}, \\ \{2,4\}, \\ \{3,4\}. \end{split}$$

Note that the chain partition satisfies the properties: (i) Each chain is saturated in the sense that no subset can be added in between any two consecutive subsets; (ii) in each chain the size of the beginning subset plus the size of the ending subset equals n. A chain partition satisfying the two properties is called a **symmetric chain partition**. The above chain partitions for n = 1, 2, 3, 4 are symmetric chain partitions.

Given a symmetric chain partition for the case n-1; we construct a symmetric chain partition for the case n: For each chain  $A_1 \subset A_2 \subset \cdots \subset A_k$  in the chain partition for the case n-1,

if 
$$k \ge 2$$
, do  $A_1 \subset A_2 \subset \cdots \subset A_k \subset A_k \cup \{n\}$ , and  
 $A_1 \cup \{n\} \subset A_2 \cup \{n\} \subset \cdots \subset A_{k-1} \cup \{n\};$   
if  $k = 1$ , do  $A_1 \subset A_1 \cup \{n\}$ .

It is clear that the chains constructed form a symmetric chain partition. In fact, the chains constructed are obviously saturated. Since  $|A_1| + |A_k| = n - 1$ , then  $|A_1| + |A_k \cup \{n\}| = |A_1| + |A_k| + 1 = n$ , and when  $k \ge 2$ ,

$$|A_1 \cup \{n\}| + |A_{k-1} \cup \{n\}| = |A_1| + |A_{k-1}| + 2 = |A_1| + |A_k| + 1 = n.$$

Now for each chain  $B_1 \subset B_2 \subset \cdots \subset B_l$  of the symmetric chain partition for the case n, since  $|B_1| \leq |B_l|$ , we must have  $|B_1| \leq n/2 \leq |B_l|$  (otherwise, if  $|B_l| < n/2$  then  $|B_1| + |B_2| < n$ , or if  $|B_1| > n/2$  then  $|B_1| + |B_l| > n$ ). By definition of  $\lfloor n/2 \rfloor$  and  $\lceil n/2 \rceil$ , we have

$$|B_1| \le \lfloor n/2 \rfloor \le \lceil n/2 \rceil \le |B_l|.$$

This means that  $B_1 \subset B_2 \subset \cdots \subset B_l$  contains exactly one  $\lfloor n/2 \rfloor$ -subset and exactly one  $\lceil n/2 \rceil$ -subset. Note that the number of  $\lfloor n/2 \rfloor$ -subsets of S is  $\binom{n}{\lfloor n/2 \rfloor}$  and the number of  $\lceil n/2 \rceil$ -subsets of S is  $\binom{n}{\lfloor n/2 \rceil}$ . It follows that the number of chains in the constructed

symmetric chain partition is

$$\binom{n}{\lfloor n/2 \rfloor} = \binom{n}{\lceil n/2 \rceil}.$$

Thus every cluster of the power set P(S) has size less than or equal to  $\binom{n}{\lfloor n/2 \rfloor}$ . The cluster  $P_{\lfloor n/2 \rfloor}(S)$  is of size  $\binom{n}{\lfloor n/2 \rfloor}$ .

The proof of the Spencer theorem actually gives the construction of clusters of maximal size. When n = even, there is only one such cluster,

$$P_{\frac{n}{2}}(S)$$
: the collection of all  $\frac{n}{2}$ -subsets of  $S$ ;

and when n = odd, there are exactly two such clusters,

$$P_{\frac{n-1}{2}}(S)$$
: the collection of all  $\frac{n-1}{2}$ -subsets of  $S$ , and  $P_{\frac{n+1}{2}}(S)$ : the collection of all  $\frac{n+1}{2}$ -subsets of  $S$ .

**Example 6.1.** (a) Let  $S = \{1\}$ . Then n = 1 and  $\binom{1}{0} = \binom{1}{1} = 1$ . There are two clusters:  $\emptyset$  and  $\{1\}$ .

(b) Let  $S = \{1, 2\}$ . Then n = 2 and  $\binom{2}{1} = 2$ . There is only one cluster of maximal size:  $\{\{1\}, \{2\}\}$ .

(c) Let  $S = \{1, 2, 3\}$ . Then n = 3 and  $\binom{3}{1} = \binom{3}{2} = 3$ . There are two clusters of maximal size:

$$\{\{1\}, \{2\}, \{3\}\}$$
 and  $\{\{1,2\}, \{1,3\}, \{2,3\}\}$ 

(d) Let  $S = \{1, 2, 3, 4\}$ . Then n = 4 and  $\binom{4}{2} = 6$ . There is only one cluster of maximal size:

 $\{\{1,2\}, \{1,3\}, \{1,4\}, \{2,3\}, \{2,4\}, \{3,4\}\}$ 

(e) Let  $S = \{1, 2, 3, 4, 5\}$ . Then n = 5 and  $\binom{5}{2} = \binom{5}{3} = 10$ . There are two clusters of maximal size:

$$\left\{\{1,2\},\ \{1,3\},\ \{1,4\},\ \{1,5\},\ \{2,3\},\ \{2,4\},\ \{2,5\},\ \{3,4\},\ \{3,5\},\ \{4,5\}\right\},\\ \left\{\{1,2,3\},\ \{1,2,4\},\ \{1,2,5\},\ \{1,3,4\},\ \{1,3,5\},\ \{1,4,5\},\ \{2,3,4\},\ \{2,3,5\},\ \{2,4,5\},\ \{3,4$$

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### 7 Dilworth Theorem

Let  $(X, \leq)$  be a finite partially ordered set. A subset A of X is called an **antichain** if any two elements of A are incomparable. In contrast, a **chain** is a subset C of X whose any two elements are comparable. Thus a chain is a linearly ordered subset of X. It is clear that any subset of a chain is also a chain, and any subset of an antichain is also an antichain. The important connection between chains and antichains is:

 $|A \cap C| \leq 1$  for any antichain A and chain C.

**Example 7.1.** Let  $X = \{1, 2, ..., 10\}$ . The divisibility | makes X into a partially ordered set. The subsets

$$\{2, 3, 5, 7\}, \ \{2, 5, 7, 9\}, \ \{3, 4, 5, 7\}, \ \{3, 4, 7, 10\}, \ \{3, 5, 7, 8\}, \ \{3, 7, 8, 10\}, \\ \{4, 5, 6, 7, 9\}, \ \{4, 6, 7, 9, 10\}, \ \{5, 6, 7, 8, 9\}, \ \{6, 7, 8, 9, 10\}$$

are antichains, they are actually maximal antichains; while the subsets

 $\{1, 2, 4, 8\}, \{1, 3, 6\}, \{1, 3, 9\}, \{1, 5, 10\}, \{1, 7\}$ 

are chains and they are actually maximal chains.

Let  $(X, \leq)$  be a finite poset. We are interested in partitioning X into disjoint union of antichains and partitioning X into disjoint union of chains. Let  $\mathcal{A}$  be an antichain partition of X and let C be a chain of X. Since no two elements of C can be contained in any antichain in  $\mathcal{A}$ , then

$$|\mathcal{A}| \ge |C|.$$

Similarly, for any chain partition  $\mathcal{C}$  and an antichain A of X, there are no two elements of A belonging to a chain of  $\mathcal{C}$ , we then have

 $|\mathcal{C}| \ge |A|.$ 

**Theorem 7.1.** Let  $(X, \leq)$  be a finite poset, and let r be the largest size of a chain. Then X can be partitioned into r but no fewer antichains. In other words,

 $\min\{|\mathcal{A}|: \mathcal{A} \text{ is an antichain partition}\} = \max\{|C|: C \text{ is a chain}\}.$ 

Proof. It is enough to show that X can be partitioned into r antichains. Let  $X_1 = X$  and let  $A_1$  be the set of all minimal elements of  $X_1$ . Let  $X_2 = X_1 - A_1$  and let  $A_2$  be the set of all minimal elements of  $X_2$ . Let  $X_3 = X_2 - A_2$  and let  $A_3$  be the set of all minimal elements of  $X_3$ . Continuing this procedure we obtain a decomposition of X into antichains  $A_1, A_2, \ldots, A_p$ . By the previous argument we always have  $p \ge r$ . On the other hand, for any  $a_p \in A_p$ , there is an element  $a_{p-1} \in A_{p-1}$  such that  $a_{p-1} < a_p$ . Similarly, there is an element  $a_{p-2} < a_{p-1}$ . Continuing this process we obtain a chain  $a_1 < a_2 < \cdots < a_p$ . Since r is the largest size of a chain, we then have  $r \ge p$ . Thus p = r.

The following dual version of the theorem is known as the **Dilworth Theorem**.

**Theorem 7.2** (Dilworth). Let  $(X, \leq)$  be a finite poset. Let s be the largest size of an antichain. Then X can be partitioned into s, but not less than s, chains. In other words,

 $\min \{ |\mathcal{C}| : \mathcal{C} \text{ is a chain partition} \} = \max \{ |A| : A \text{ is an antichain} \}.$ 

*Proof.* It suffices to show that X can be partitioned into s chains. We proceed by induction on |X|. Let |X| = n. For n = 1, it is trivially true. Assume that  $n \ge 2$ . Let  $A_{\min}$  be the set of all minimal elements of X, and  $A_{\max}$  the set of all maximal elements of X. Both  $A_{\min}$  and  $A_{\max}$  are maximal antichains. We divide the situation into two cases.

CASE 1.  $A_{\min}$  and  $A_{\max}$  are the only maximal antichains of X. Take an element  $x \in A_{\min}$  and an element  $y \in A_{\max}$  such that  $x \leq y$  (possibly x = y). Let  $X' = X - \{x, y\}$ . If  $X' = \emptyset$ , then  $X = \{x, y\}$  and x < y, thus s = 1 and x < y is the required chain partition. Assume  $X' \neq \emptyset$ , then X' has only the maximal antichains  $A_{\min} - \{x\}$  and  $A_{\max} - \{y\}$ . The largest size of antichains of X' is s - 1. Since  $|X'| \leq n - 1$ , by induction the set X' can be partitioned into s - 1 chains  $C_1, \ldots, C_{s-1}$ . Set  $C_s = \{x \leq y\}$ . The collection  $\{C_1, \ldots, C_s\}$  is a chain partition of X.

CASE 2. The set X has a maximal antichain  $A = \{a_1, a_2, \ldots, a_s\}$  of size s such that  $A \neq A_{\min}$  and  $A \neq A_{\min}$ . Let

$$A^{-} = \{x \in X : x \le a_i \text{ for some } a_i \in A\},\$$
  
$$A^{+} = \{x \in X : x \ge a_i \text{ for some } a_i \in A\}.$$

The sets  $A^+$  and  $A^-$  satisfy the following properties:

- 1.  $A^+ \subsetneq X$ . (Since  $A_{\min} \not\subseteq A$ , i.e., there is a minimal element not in A; this minimal element cannot be in  $A^+$ , otherwise, it is larger than one element of A by definition.)
- 2.  $A^- \subsetneq X$ . (Since  $A_{\max} \not\subseteq A$ , i.e., there is a maximal element not in A; this maximal element cannot be in  $A^-$ , otherwise, it is smaller than one element of A by definition.)
- 3.  $A^- \cap A^+ = A$ . (It is always true that  $A \subseteq A^+ \cap A^-$ . For each  $x \in A^+ \cap A^-$ , there exist  $a_i, a_j \in A$  such that  $a_i \leq x \leq a_j$  by definition, then  $a_i \leq a_j$ , which implies  $a_i = a_j$  so that i = j, thus  $x = a_i = a_j \in A$ .)
- 4.  $A^- \cup A^+ = X$ . (Suppose there is an element  $x \notin A^- \cup A^+$ , then x is neither ahead nor behind any member of A, thus  $A \cup \{x\}$  is an antichain of larger size than A.)

Since  $A^-$  and  $A^+$  are smaller posets having the maximal antichain A of size s, then by induction, by induction  $A^-$  can be partitioned into s chains  $C_1^-, C_2^-, \ldots, C_s^-$  with the maximal elements  $a_1, a_2, \ldots, a_s$  respectively, and  $A^+$  can be partitioned into s chains  $C_1^+, C_2^+, \ldots, C_s^+$  with the minimal elements  $a_1, a_2, \ldots, a_s$  respectively. Thus we obtain a partition of X into s chains

$$C_1^- \cup C_1^+, \quad C_2^- \cup C_2^+, \quad \dots, \quad C_s^- \cup C_s^+.$$

**Example 7.2.** Let  $X = \{1, 2, ..., 20\}$  be the poset with the partial order of divisibility. Then the subset  $\{1, 2, 4, 8, 16\}$  is a chain of maximal size. The set X can be partitioned into five antichains

 $\{1\}, \quad \{2,3,5,7,11,13,17,19\}, \quad \{4,6,9,10,14,15\}, \quad \{8,12,18,20\}, \quad \{16\}.$ 

However, the size of the antichain  $\{2,3,5,7,11,13,17,19\}$  of size 8 is not maximal. In fact,

 $\{4,6,7,9,10,11,13,15,17,19\}$ 

is an antichain of size 10. The set X can be partitioned into ten chains

$$\{1, 2, 4, 8, 16\}, \quad \{3, 6, 12\}, \quad \{5, 10, 20\}, \quad \{7, 14\}, \quad \{9, 18\}, \\ \{11\}, \quad \{13\}, \quad \{15\} \quad \{17\}, \quad \{19\}.$$

This means that  $\{4, 6, 7, 9, 10, 11, 13, 15, 17, 19\}$  is an antichain of maximal size.

**Example 7.3.** Let  $X = \{(i, j) \in \mathbb{Z}^2 : 0 \le i, j \le 3, \}$  be a poset whose partial order  $\le$  is defined by  $(i, j) \le (k, l)$  if and only if  $i \le k$  and  $j \le l$ . The size of the longest chain is 7. For instance,

$$(0,0) < (1,0) < (1,1) < (1,2) < (2,2) < (2,3) < (3,3)$$

is a chain of length 7. The the following collection of subsets

$$\{(0,0)\}, \quad \{(1,0),(0,1)\}, \quad \{(2,0),(1,1),(0,2)\}, \quad \{(3,0),(2,1),(1,2),(0,3)\}, \\ \{(3,1),(2,2),(1,3)\}, \quad \{(3,2),(2,3)\}, \quad \{(3,3)\}$$

is an antichain partition of X. The maximal size of antichain is 4 and the poset X can be partitioned into 4 disjoint chains:

$$\begin{array}{l} (0,0) < (0,1) < (0,2) < (0,3) < (1,3) < (2,3) < (3,3), \\ (1,0) < (1,1) < (1,2) < (2,2) < (3,2), \\ (2,0) < (2,1) < (3,1), \\ (3,0). \\ \{(0,0),(0,1),(0,2),(0,3),(1,3),(2,3),(3,3)\}, \\ \{(1,0),(1,1),(1,2),(2,2),(3,2)\}, \\ \{(2,0),(2,1),(3,1)\}, \\ \{(3,0)\}. \end{array}$$

The Hasse diagram of the poset X is



Finding an antichain of maximal size for a poset is a difficult problem. So far there is no canonical way to do this job.