

# Math 6170 C, Lecture on Feb 26, 2020

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- (1) Review of the concept of rational map and morphisms between projective varieties (I § 3)
- (2). Review of local ring at a smooth point of a curve (II. § 1)
- (3). Chapter II, § 2.

# Morphisms between Projective Varieties

Let  $V_1 \subset \mathbb{P}^n(\bar{K})$ ,  $V_2 \subset \mathbb{P}^m(\bar{K})$  be projective varieties. A rational map from  $V_1$  to  $V_2$  is a map of the form

$$\phi(P) = [f_0(P), \dots, f_m(P)]$$

where  $f_0, \dots, f_m \in \bar{K}(V_1)$  have the property that for every  $P \in V_1$  at which  $f_0, \dots, f_m$  are all defined, and  $f_i(P)$  are not all 0,

$$\phi(P) = [f_0(P), \dots, f_m(P)] \in V_2 \tag{1}$$

The domain of  $\phi$  includes the set

$$\{P \in V_1 \mid \text{all } f_0(P), \dots, f_1(P) \text{ are defined and at least one is not } 0\}.$$

# Definition.

A rational map  $V_1 \rightarrow V_2$  given by  $[f_0, \dots, f_m]$  is regular at a point  $Q$ , if there is a function  $g \in \bar{K}(V_1)$  such that each  $gf_i$  is regular at  $Q$ , and for some  $i$ ,  $(gf_i)(Q) \neq 0$

If such a  $g$  exists, we set

$$\phi(Q) = [(gf_0)(Q), \dots, (gf_m)(Q)].$$

Exercise:  $\phi(Q)$  is well-defined. That is, if there is another set  $\tilde{g} \in \bar{K}(V_1)$  satisfying the similar condition, then

$$[(gf_0)(Q), \dots, (gf_m)(Q)] = [(\tilde{g}f_0)(Q), \dots, (\tilde{g}f_m)(Q)].$$

Hint: Prove that  $\tilde{g}g^{-1}$  and  $\tilde{g}^{-1}g$  are both regular at  $Q$ .

# Local Ring of a Curve at a Smooth Point: An Example

For a curve  $C$  over  $\bar{K}$ ,  $P \in C$  a smooth point, the local ring  $\bar{K}[C]_P$  is a discrete valuation.

We have a surjective valuation map

$$\text{ord}_P : \bar{K}[C]_P \rightarrow \{0, 1, \dots\} \cup \{\infty\}$$

It extends to a map

$$\text{ord}_P : \bar{K}(C) \rightarrow \mathbb{Z} \cup \{\infty\}$$

$t \in \bar{K}[C]_P$  is called a **uniformizer** if

$$\text{ord}_P(t) = 1.$$

Every nonzero element  $f \in \bar{K}(C)$  can be written as

$$f = t^k u, \quad k \in \mathbb{Z}, u \text{ is a unit in } \bar{K}[C]_P.$$



$$V = \{(x, y) \in \mathbb{A}^2(\bar{K}) \mid y^2 = x(x-1)(x-\lambda)\}$$

Assume  $\lambda \neq 0, 1$ , every point in  $V$  is regular. Its projective closure

$$\bar{V} = \{[x, y, z] \in \mathbb{P}^2(\bar{K}) \mid y^2z = x(x-z)(x-\lambda z)\}$$

has only one point at infinity:  $[0, 1, 0]$ , which is also regular.

So  $\bar{V}$  is a smooth curve. This is an example of Legendre curve, which is an elliptic curve. Its function field is

$$\bar{K}(V) = \bar{K}(\bar{V}) = \text{Frac } \bar{K}[X, Y]/(Y^2 - X(X - 1)(X - \lambda))$$

$P = (0, 0) \in V$ . The local ring at  $P$  is

$$\bar{K}[V]_P = \left\{ \frac{f}{g} \in \bar{K}(V) \mid g(P) \neq 0 \right\}.$$

It is easy to see that  $\bar{K}[V]_P$  consists of the elements of the form

$$\frac{f(X, Y)}{g(X, Y)}$$

such that  $g(0, 0) \neq 0$ , i.e., the constant term of  $g$  is non-zero. The maximal ideal  $M_P$  of  $\bar{K}[V]_P$  consists of the elements of the form

$$\frac{f(X, Y)}{g(X, Y)}$$

such that  $f(0, 0) = 0, g(0, 0) \neq 0$ .

One finds that  $\dim_{\bar{K}} M_P / M_P^2 = 1$ ,  $Y + M_P^2$  is the generator of  $M_P / M_P^2$ . So

$$\text{ord}_P(Y) = 1$$

Because  $X - 1$  and  $X - \lambda$  are units in  $\bar{K}[V]_P$ , so

$$\text{ord}_P(X - 1) = \text{ord}_P(X - \lambda) = 0.$$

By relation  $Y^2 = X(X - 1)(X - \lambda)$ , we have

$$\text{ord}_P(X) = 2$$

Exercise (1) Find  $\text{ord}_P(Y^2 - X)$

(2) Prove that  $\frac{Y^2 - X}{Y^2 + (1 - \lambda)X}$  is a regular at  $P$

**Proposition 2.1.** Let  $C$  be a curve,  $V \subset \mathbb{P}^N(\bar{K})$  a variety,  $P \in C$  a smooth point, and  $\phi : C \rightarrow V$  a rational map. Then  $\phi$  is regular at  $P$ . In particular, if  $C$  is smooth, then  $\phi$  is regular at all points in  $C$ , so it is a morphism.

*Proof.* Suppose  $\phi = [f_0, f_1, \dots, f_N]$ ,  $f_i \in \bar{K}(C)$ , Not all  $f_0, \dots, f_N$  are 0.

Choose a uniformizer  $t \in \bar{K}(C)$  at  $P$ . Then each non-zero  $f_i$  can be written as  $f_i = t^{k_i} u_i$ ,  $k_i \in \mathbb{Z}$ ,  $u_i \in \bar{K}[C]$  is a unit.

We assume  $f_j \neq 0$  and  $k_j$  is the smallest among all  $k_i$ 's then  $t^{-k_j} f_0, t^{-k_j} f_1, \dots, t^{-k_j} f_N$  are all regular at  $P$  and  $(t^{-k_j} f_j)(P) = u_j(P) \neq 0$ .

This proves  $\phi$  is regular at  $P$ .

## Example 2.2.

Let  $C/K$  be a smooth curve and  $f \in K(C)$  a non-zero rational function. Then  $f$  defines a rational map (also denoted by  $f$  for simplicity)

$$f : C \rightarrow \mathbb{P}^1(\bar{K}), \quad P \mapsto [f(P), 1]$$

The formula makes sense for  $P$ 's with  $\text{ord}_P(f) \geq 0$ .

For  $P$  a pole of  $f$ , we take a uniformizer  $t$  at  $P$ , then  $f = t^{-k}u$ ,  $k < 0$ ,  $u \in \bar{K}[C]_P$  is a unit, so  $u(P) \neq 0$ .

$$f : P \mapsto [(t^k f)(P), t^k(P)] = [u(P), 0] = [1, 0].$$



The above map is not the non-constant map  $\infty$ . Conversely, any morphism that is not constant map  $\infty$  is given by a unique non-zero  $f \in \bar{K}(C)$ .

## Theorem 2.3.

Let  $\phi : C_1 \rightarrow C_2$  be a morphism of curves. Then  $\phi$  is either constant or surjective.

*Proof.* Because  $C_1$  is projective, so  $\text{Im}(\phi)$  is a closed subset of  $C_2$ . And  $\text{Im}(\phi)$  is connected. Since  $C_2$  is a curve, a closed connected subset is either a point or  $C_2$  itself.

# Rationality Question.

If a projective variety  $V$  is defined over  $K$ , this means that  $V \subset \mathbb{P}^n(\bar{K})$  has the property that its ideal  $I(V)$  can be generated by homogeneous polynomials  $f_1, \dots, f_m$  in  $K[X_0, X_1, \dots, X_n]$ .

The function field  $K(V)$  over  $K$  is defined to be the subfield of  $\text{Frac } K[X]/(f_1, \dots, f_m)$  that consists of elements of degree 0. If  $V_1, V_2$  are both defined over  $K$ , then one can define the concept of a rational map or a morphism from  $V_1$  to  $V_2$  **defined over  $K$** .

Let  $C_1/K$  and  $C_2/K$  be curves and  $\phi : C_1 \rightarrow C_2$  a non-constant rational map defined over  $K$ . Then  $\phi$  induces an field extension

$$\phi^* : K(C_2) \rightarrow K(C_1)$$

## Theorem 2.4.

Let  $C_1/K$  and  $C_2/K$  be curves. **Assume both are smooth** (i.e., all  $\bar{K}$ -points are smooth). Then

- (a). Let  $\phi : C_1 \rightarrow C_2$  be a non-constant morphism defined over  $K$ . Then  $K(C_1)$  is a finite extension of  $K(C_2)$ .
- (b). Let  $\iota : K(C_2) \rightarrow K(C_1)$  be an injection of fields fixing  $K$ . Then there is unique non-constant morphism  $\phi : C_1 \rightarrow C_2$  defined over  $K$  that induces the  $\iota$ .

(c). If  $\mathbb{K}$  is finitely generated extension of  $K$  of transcendental degree 1 satisfying  $\mathbb{K} \cap \bar{K} = K$ , then there exists a unique smooth curve  $C$  over  $K$  such that  $K(C) = \mathbb{K}$ .

Proof of (a): Because  $K(C_1)$  and  $K(C_2)$  have transcendental degree 1 over  $K$ , and both are finitely generated field extensions of  $K$ .

The following two categories are anti-equivalent:

Geometric Category: Objects are smooth curves defined over  $K$ .  
Morphisms are non-constant rational maps defined over  $K$ .

Algebraic Category: Objects are finitely generated field extensions  $\mathbb{K}$  of  $K$  with  $\mathbb{K} \cap \bar{K} = K$  and  $\text{Tr deg } \mathbb{K}/K = 1$ . Morphisms are field homomorphisms over  $K$ .

Another example of anti-equivalence of categories:

Geometric Category: objects are compact Hausdorff topological spaces, morphisms are continuous maps.

Algebraic Category: objects are unital commutative  $C^*$ -algebras, morphisms are  $C^*$ -homomorphisms.



An easy example of anti-equivalence of categories:

Geometric Category: objects are finite sets, morphisms are maps.

Algebraic Category: objects are unital finite dimensional commutative  $\mathbb{C}$ -algebras with no non-zero nilpotent algebras, morphisms are  $\mathbb{C}$ -algebra homomorphisms.

# Definition.

Let  $\phi : C_1 \rightarrow C_2$  be a non-constant map of curves over  $K$ , we define the **degree** of  $f$  by

$$\deg \phi = [K(C_1) : K(C_2)].$$

# Definition.

Let  $\phi : C_1 \rightarrow C_2$  be a non-constant map of smooth curves, and let  $P \in C_1$ . The **ramification index** of  $\phi$  at  $P$ , denoted by  $e_\phi(P)$ , is given by

$$e_\phi(P) = \text{ord}_P(\phi^* t_{\phi(P)}),$$

where  $t_{\phi(P)} \in K(C_2)$  is a uniformizer at  $\phi(P)$ .

Note that  $e_\phi(P) \geq 1$ , because

$$C_1 \xrightarrow{\phi} C_2 \xrightarrow{t_{\phi(P)}} \mathbb{P}^1, \quad P \mapsto \phi(P) \mapsto 0$$

We say that  $\phi$  is **unramified at**  $P$  if  $e_\phi(P) = 1$ ,  $\phi$  is unramified if  $\phi$  is unramified at every point of  $C_1(\bar{K})$ .

Complex analytic analog of ramification:

$$f : \mathbb{C} \rightarrow \mathbb{C}, \quad f(z) = z^5$$

$f$  is ramified at  $z = 0$ , the ramification index is 5.  $f$  is unramified at all other points: for any  $c \neq 0$ , any root of the equation  $z^5 - c = 0$  has multiplicity 1.

## Proposition 2.6.

Let  $\phi$  be a non-constant map of smooth curves.

(a) For every  $Q \in C_2$ ,

$$\sum_{P \in \phi^{-1}(Q)} e_{\phi}(P) = \deg(\phi).$$

(b) For all but finitely many  $Q \in C_2$ ,

$$|\phi^{-1}(Q)| = \deg_s(\phi).$$

where  $\deg_s(\phi)$  is the separable degree of the field extension  $\phi^*$ .

(c) Let  $\psi : C_2 \rightarrow C_3$  be another non-constant map. Then for all  $P \in C_1$ ,

$$e_{\psi \circ \phi}(P) = e_{\phi}(P)e_{\psi}(\phi P)$$

## Sketch of Proof of (a).

Consider the field extension  $\bar{K}(C_2) \subset \bar{K}(C_1)$  induced by  $\phi$ . Let  $R$  be the integral closure of the local ring  $\bar{K}[C_2]_Q$  in  $\bar{K}(C_1)$ , then  $R$  is a free module of  $\bar{K}[C_2]_Q$  with rank  $\deg(\phi)$ .  $R$  has exactly  $|\phi^{-1}(Q)|$  maximal ideals, each corresponds a point in  $\phi^{-1}(Q)$ . Then consider  $R/RM_Q$  as a vector space of  $\bar{K}[C_2]_Q/M_Q = \bar{K}$  with dimension  $\deg(\phi)$ . Then prove

$$R/RM_Q = \bigoplus_{P \in \phi^{-1}(Q)} (\bar{K})^{e_\phi(P)}.$$

This will prove (a).

# Corollary.

A map  $\phi : C_1 \rightarrow C_2$  is unramified iff  $|\phi^{-1}(Q)| = \deg(\phi)$  for all  $Q \in C_2$ .



# The Frobenius Map.

If  $\text{char}(K) = p > 0$ , and let  $q = p^r$ . For any  $n$ -variable polynomial  $f \in K[X]$ , let  $f^{(q)}$  be the polynomial obtained from  $f$  by raising each coefficient of  $f$  to the  $q$ -th power.

Then for any curve  $C/K$  we can define a new curve  $C^{(q)}/K$  by describing its homogeneous ideal as

$$I(C^{(q)}) = \text{ideal generated by } f^{(q)}, \quad f \in I(C)$$

There is a natural map from  $C$  to  $C^{(q)}$ , called the  $q$ -power Frobenius morphism, given by

$$\phi([x_0, \dots, x_n]) = [x_0^q, \dots, x_n^q]$$

Then

$$\phi^* K(C^{(q)}) = \{f^q \mid f \in K(C)\}$$

so the field extension  $K(C^{(q)}) \subset K(C)$  is a purely inseparable of degree  $q$ .

Conversely of  $\phi : C \rightarrow C'$  is a non-constant morphism of smooth curves over  $K$  such that  $\phi^* : K(C') \rightarrow K(C)$  is a purely inseparable extension of degree  $q$ , then  $C' = C^{(q)}$  and  $\phi$  is the  $q$ -power Frobenius map.

## II § 3. Divisor.

Let  $C$  be a curve over  $\bar{K}$ . A divisor of  $C$  is a formal finite  $\mathbb{Z}$ -linear combination of points in  $C$ :

$$n_1(P_1) + \cdots + n_k(P_k).$$

This sum can be regarded as the sum over all the points  $P$  in  $C$

$$\sum_{P \in C} n_P(P)$$

such that for  $P = P_i$ ,  $n_P = n_i$  and all other  $n_P$  are 0.

The set of all divisors is denoted by

$$\text{Div}(C)$$

which has a group structure under the obvious addition.

The degree of  $D = \sum_{P \in C} n_P(P)$  is

$$\deg D = \sum_{P \in C} n_P.$$

**End**