

# Math 6170 C, Lecture on March 2, 2020

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# Plan.

- (1) Example of Curve:  $\mathbb{P}^1$
- (2). Chapter II. § 3. Divisors
- (3). Chapter II, § 4. Differentials

$C = \mathbb{P}^1(\bar{K}) \subset \mathbb{P}^1(\bar{K})$ . Its ideal is  $I(C) = \{0\}$ . Its function field  $\bar{K}(C)$  is the degree 0 elements in  $\text{Frac } \bar{K}[X, Y]$ , i.e.,

$$\bar{K}(C) = \left\{ \frac{f(X, Y)}{g(X, Y)} \mid f, g \text{ homogeneous, and } \deg f = \deg g \right\}.$$

$$\frac{f(X, Y)}{g(X, Y)} \mapsto \frac{f(X, 1)}{g(X, 1)}$$

identify  $\bar{K}(C)$  with

$$\text{Frac } \bar{K}[X]$$

This agrees with the fact that the projective closure of  $\mathbb{A}^1(\bar{K})$  is  $\mathbb{P}^1(\bar{K})$ .  
The function field of  $\mathbb{A}^1(\bar{K})$  is  $\text{Frac } \bar{K}[X] = \bar{K}(X)$ . As a set

$$C = \mathbb{P}^1(\bar{K}) = \mathbb{A}^1(\bar{K}) \cup \{\infty\} = \bar{K} \cup \{\infty\}$$

where  $\infty$  has homogeneous coordinate  $[1, 0]$ .

If  $P = a \in \bar{K}$  is a point in  $C$ , the local ring at  $P$  is

$$\bar{K}[C]_P = \left\{ \frac{f(X)}{g(X)} \mid g(a) \neq 0 \right\}.$$

A uniformizer at  $P$  is  $X - a$ .

What is the local ring of  $\infty$ ? what is a uniformizer at  $\infty$ ?

Recall  $\infty = [1, 0]$ , we identify the function field of  $C = \mathbb{P}^1(\bar{K})$  with  $\text{Frac } \bar{K}[Y] = \bar{K}(Y)$  by

$$\frac{f(X, Y)}{g(X, Y)} \mapsto \frac{f(1, Y)}{g(1, Y)}$$

The identification of  $\bar{K}(X) \sim \bar{K}(Y)$  is given by

$$f(X) \mapsto f(Y^{-1}).$$

The local ring of  $\infty$  in  $\bar{K}(Y)$  is

$$\left\{ \frac{h(Y)}{g(Y)} \mid g(0) \neq 0 \right\}$$

A uniformizer at  $\infty$  is  $Y$ .

In the setting  $\bar{K}(X)$ ,  $\frac{1}{X}$  is a uniformizer at  $\infty$  and the local ring of  $\infty$  is

$$\left\{ \frac{f(X)}{g(X)} \mid \deg f \leq \deg g \right\}.$$

$$\text{ord}_{\infty} \left( \frac{f(X)}{g(X)} \right) = \deg g - \deg f$$

$\mathbb{P}^1(\bar{K})$  is defined on  $K$ , its function field over  $K$  is

$$K(C) = K(X).$$

The Galois group  $G_{\bar{K}/K}$  acts on  $\mathbb{P}^1(\bar{K})$ , the fixed point is the  $K$ -rational points  $\mathbb{P}^1(K) = K \cup \{\infty\}$ .



The group  $GL_2(\bar{K})$  acts on  $\mathbb{P}^1(\bar{K})$  as automorphisms of projective varieties:

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot [x, y] = [ax + by, cx + dy]$$

In the identification  $\mathbb{P}^1(\bar{K}) = \bar{K} \cup \{\infty\}$ , the above action is given by

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot x = \frac{ax + b}{cx + d}$$

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot \infty = \frac{a}{c}$$

$C_1 = \mathbb{P}^1(\bar{K})$  and  $C_2 = \mathbb{P}^1(\bar{K})$ .

Let  $X_1$  be a uniformizer of  $0 \in \mathbb{A}^1 \subset \mathbb{P}^1(\bar{K})$ , so  $\bar{K}(C_1) = \bar{K}(X_1)$ .

Similarly let  $X_2$  be a uniformizer of  $0 \in \mathbb{A}^1 \subset \mathbb{P}^1(\bar{K})$ , so  $\bar{K}(C_2) = \bar{K}(X_2)$ .

Let  $h(X_1) = a_n X_1^n + \cdots + a_1 X_1 + a_0$  be a degree  $n$  polynomial,  $n \geq 1$ .  $h$  gives a morphism  $\phi : C_1 \rightarrow C_2$  given by

$$\phi(a) = h(a) \quad \text{for } a \in \bar{K}; \quad \phi(\infty) = \infty.$$

It induces the field extension

$$\phi^* : \bar{K}(X_2) \rightarrow \bar{K}(X_1)$$

given by

$$\frac{f(X_2)}{g(X_2)} \mapsto \frac{f(h(X_1))}{g(h(X_1))}$$

What is  $\deg \phi$ ?

$\deg \phi = n$ .

Method 1. Compute  $[\bar{K}(X_1) : \bar{K}(X_2)]$ ,

$$\bar{K}(X_1) = \bar{K}(X_2)[X_1]$$

The minimal polynomial of  $X_1$  over  $\bar{K}(X_2)$  is

$$a_n Y^n + \cdots + a_1 Y + a_0 - X_2$$

Method 2 (Assume  $\text{Char } K = 0$ ). For almost all  $a \in \bar{K}$ , the equation

$$\phi(X_1) = a$$

equivalently

$$a_n X_1^n + \cdots + a_1 X_1 + a_0 = a$$

has exactly  $n$  solutions.

$$\phi(\infty) = \infty. \quad \phi^{-1}(\infty) = \{\infty\}.$$

$$e_{\phi}(\infty) = n$$

Exercise: find all the ramified points and the ramification indices.

Let  $C$  be a curve over  $\bar{K}$ . A divisor of  $C$  is a formal  $\mathbb{Z}$ -linear combination of points in  $C$ :

$$\sum_{P \in C} n_P(P)$$

such that almost all coefficients  $n_P$  are 0



The set of all divisors is denoted by

$$\text{Div}(C)$$

which has a group structure under the obvious addition

$$D_1 = 2(P_1) - 3(P_2), \quad D_2 = -(P_2) + 5(P_3)$$

Then

$$D_1 + D_2 = 2(P_1) - 4(P_2) + 5(P_3)$$

The degree of  $D = \sum_{P \in C} n_P(P)$  is

$$\deg D = \sum_{P \in C} n_P.$$

Assume  $C$  is smooth,  $f \in \bar{K}(C)$ ,  $f \neq 0$ .

$$\operatorname{div}(f) = \sum_{P \in C} \operatorname{ord}_P(f) (P).$$

This defines a group homomorphism

$$\operatorname{div} : \bar{K}(C)^* \rightarrow \operatorname{Div}(C).$$

because

$$\operatorname{ord}_P(fg) = \operatorname{ord}_P(f) + \operatorname{ord}_P(g)$$

## Proposition 3.1.

Let  $C$  be a smooth curve over  $\bar{K}$  and  $f \in \bar{K}(C)^*$ . Then

(a)  $\text{div}(f) = 0$  iff  $f \in \bar{K}^*$ .

(b)  $\text{deg}(\text{div}(f)) = 0$ .

*Proof of (a).* If  $f \in \bar{K}^*$ , then  $\text{ord}_P(f) = 0$  for all  $P \in C$ , so  $\text{div}(f) = 0$ . Conversely, if  $\text{div} f = 0$ ,  $f$  gives a morphism  $f : C \rightarrow \mathbb{P}^1(\bar{K})$ , this map doesn't take value  $\infty$  as  $\text{div} f = 0$ . So  $f$  is a constant. So  $f \in \bar{K}^*$ .

(b) will be proved later.

A divisor of the form  $\text{div}(f)$  is called a **principal divisor**.

The set of all principal divisors is a subgroup of  $\text{Div } C$ . It is the image of the group homomorphism:

$$\text{div} : \bar{K}(C)^* \rightarrow \text{Div}(C)$$

The **divisor class group** or **Picard group** of  $C$ , denoted by  $\text{Pic}(C)$ , is defined to be the quotient

$$\text{Div}(C)/\{\text{div}(f) \mid f \in \bar{K}(C)^*\}$$

# Definition.

The **degree 0 part of the divisor class group** of  $C$ , which we denote by  $\text{Pic}^0(C)$ , is the quotient of  $\text{Div}^0(C)$  by  $\{\text{div}(f) \mid f \in \bar{K}(C)^*\}$ .

We have the exact sequence

$$1 \rightarrow \bar{K}^* \rightarrow \bar{K}(C)^* \rightarrow \text{Div}^0(C) \rightarrow \text{Pic}^0(C) \rightarrow 0.$$

The analogous exact sequence for a number field  $F$  with the ring of integers  $R$  is

$$1 \rightarrow \text{units}(R) \rightarrow F^* \rightarrow \text{fractional ideals} \rightarrow \text{ideal class group of } F \rightarrow 1$$

Idea: Number fields and function fields  $\bar{K}(C)$  have lots in common.

A better analog involves Arakelov theory for number fields (arithmetic curves).

Let  $\phi : C_1 \rightarrow C_2$  be a non-constant morphism of smooth curves

$\phi$  induces a field embedding

$$\phi^* : \bar{K}(C_2) \rightarrow \bar{K}(C_1)$$

and

$$\phi_* : \bar{K}(C_1) \rightarrow \bar{K}(C_2)$$

which is the norm map of the embedding  $\phi^*$ .



We define a group homomorphism

$$\phi^* : \text{Div}(C_2) \rightarrow \text{Div}(C_1)$$

$$\phi^*(Q) = \sum_{P \in \phi^{-1}(Q)} e_\phi(P)(P).$$

and a group homomorphism

$$\phi_* : \text{Div}(C_1) \rightarrow \text{Div}(C_2)$$

$$\phi_*(P) = (\phi P)$$

## Proposition 3.6.

Let  $\phi : C_1 \rightarrow C_2$  be a non-constant morphism of smooth curves. Then

(a)  $\deg(\phi^*D) = (\deg \phi)(\deg D)$  for  $D \in \text{Div}(C_2)$ .

(b)  $\phi^*(\text{div } f) = \text{div}(\phi^*f)$  for  $f \in \bar{K}(C_2)^*$ .

(c)  $\deg(\phi_*D) = \deg D$  for  $D \in \text{Div}(C_1)$ .

(d)  $\phi_*(\text{div } f) = \text{div}(\phi_*f)$  for  $f \in \bar{K}(C_1)$ .

(e)  $\phi_* \circ \phi^* : \text{Div}(C_2) \rightarrow \text{Div}(C_2)$  is the multiplication by  $\deg \phi$ .

(f) If  $\psi : C_2 \rightarrow C_3$  is another such map, then

$$(\psi \circ \phi)^* = \phi^* \circ \psi^*, \quad (\psi \circ \phi)_* = \psi_* \circ \phi_*$$

From the Proposition, we see that  $\phi^*$  and  $\phi_*$  take divisors of degree 0 to divisors of degree 0, and the principal divisors to principal divisors. So they induces maps

$$\phi^* : \text{Pic}^0(C_2) \rightarrow \text{Pic}^0(C_1), \quad \phi_* : \text{Pic}^0(C_1) \rightarrow \text{Pic}^0(C_2)$$

Proof of  $\deg \operatorname{div}(f) = 0$  for  $f \in \bar{K}(C)^*$ .

$f : C \rightarrow \mathbb{P}^1(\bar{K})$ , it is easy to see that

$$\operatorname{div}(f) = f^*((0) - (\infty)) = f^*(0) - f^*(\infty)$$

So

$$\deg \operatorname{div}(f) = \deg f^*(0) - \deg f^*(\infty) = \deg f - \deg f = 0.$$

## Rationality Issues:

If  $C$  is defined over  $K$ , then the Galois group  $G_{\bar{K}/K}$  acts on  $C$ , so  $G_{\bar{K}/K}$  acts on  $\text{Div}(C)$ : for  $\sigma \in G_{\bar{K}/K}$ ,

$$(n_1(P_1) + \cdots + n_k(P_k))^\sigma \stackrel{\text{def}}{=} n_1(P_1^\sigma) + \cdots + n_k(P_k^\sigma).$$

A divisor

$$D = n_1(P_1) + \cdots + n_k(P_k) \in \text{Div}(C)$$

is **defined over**  $K$  if  $D$  is fixed by all  $\sigma \in G_{\bar{K}/K}$ .

This doesn't mean each  $P_i \in C(K)$ .

For example,  $K = \mathbb{R}$ ,  $\bar{K} = \mathbb{C}$ ,  $G_{\mathbb{C}/\mathbb{R}} = \{e, \tau\}$ .

$$(2 + i) + (2 - i) \in \text{Div}(\mathbb{P}^1)$$

is defined over  $\mathbb{R}$ , but  $2 \pm i \notin \mathbb{P}^1(\mathbb{R})$

The set of divisors defined over  $K$  is denoted by  $\text{Div}_K(C)$ .

Similarly  $G_{\bar{K}/K}$  acts on  $\text{Pic}^0(C)$ , the fixed point set is denoted by

$$\text{Pic}_K^0(C)$$



**Definition.** Let  $C$  be a curve. The space of meromorphic differential forms on  $C$ , denoted by  $\Omega_C$ , is the  $\bar{K}(C)$ -vector space generated by symbols of the form  $df$  for  $f \in \bar{K}(C)$ , subject to the following three relations:

$$(1). \quad d(f + g) = df + dg$$

$$(2) \quad d(fg) = gdf + fdg$$

$$(3) \quad da = 0 \text{ for } a \in \bar{K}.$$

Let  $\phi : C_1 \rightarrow C_2$  be a non-constant map of curves,  $\phi^* : \bar{K}(C_2) \rightarrow \bar{K}(C_1)$  is the corresponding field extension. It induces a  $\bar{K}$ -linear map on differentials:

$$\phi^* : \Omega_{C_2} \rightarrow \Omega_{C_1}$$

$$\phi^*(fdg) = (\phi^* f)d(\phi^* g)$$

## Proposition 4.2.

Let  $C$  be a curve.

(a)  $\Omega_C$  is a 1-dimensional  $\bar{K}(C)$ -vector space.

(b) Let  $x \in \bar{K}(C)$ . Then  $dx$  is a  $\bar{K}(C)$  basis for  $\Omega_C$  iff  $\bar{K}(C)/\bar{K}(x)$  is a finite separable extension.

(c) Let  $\phi : C_1 \rightarrow C_2$  be a non-constant morphism. Then  $\phi$  is separable (equivalently  $\bar{K}(C_1)/\bar{K}(C_2)$  is a separable extension) iff

$$\phi^* : \Omega_{C_2} \rightarrow \Omega_{C_1}$$

is injective.

The proof uses the following formulas:

For  $y \in \bar{K}(C)$ ,  $c \in \bar{K}$ ,

$$d(cy) = cy$$

That is, the symbol is  $\bar{K}$ -linear.

For  $y \in \bar{K}(C)$ ,

$$d(y^n) = ny^{n-1}dy$$

For  $P(y) \in \bar{K}[y]$ ,

$$dP(y) = P'(y)dy.$$

## Proposition 4.3.

Let  $C$  be a smooth curve,  $P \in C$ ,  $t \in \bar{K}(C)$  be a uniformizer at  $P$ .

(a) For every  $\omega \in \Omega_C$ , there exists a unique  $g \in \bar{K}(C)$  such that

$$\omega = g dt.$$

We denote  $g$  by  $\omega/dt$ .

(b) Let  $f \in \bar{K}(C)$  be regular at  $P$ , then  $df/dt$  is also regular at  $P$ .

(c) The number  $\text{ord}_P(\omega/dt)$  does not depend on the choice of uniformizer  $t$ . We call this value the order of  $\omega$  at  $P$ , and also denote it by  $\text{ord}_P(\omega)$ .

**End**