

# SUMMARY OF CHAPTER I OF HARTSHORNE'S "ALGEBRAIC GEOMETRY"

**Notation.** Throughout of this Chapter,  $k$  denotes an algebraic closed field.

## Section I.1. Affine Varieties.

$k$ : algebraically closed field.  $\mathbf{A}^n$ : set of all  $(a_1, a_2, \dots, a_n)$ .

**Algebraic sets, Zariski topology.** **Affine algebraic variety** is an irreducible closed subsets in  $\mathbf{A}^n$ . An open set of an affine variety is called a **quasi-affine variety**.

For an ideal  $\mathfrak{a} \subset k[x_1, \dots, x_n]$ , we define its zero set

$$Z(\mathfrak{a}) = \{a = (a_1, \dots, a_n) \in \mathbf{A}^n \mid f(a) = 0 \text{ for all } f \in \mathfrak{a}\}.$$

For every subset  $Y \subset \mathbf{A}^n$ , we define

$$I(Y) = \{f \in k[x_1, \dots, x_n] \mid f \text{ vanishes on } Y\}.$$

Then  $Z$  and  $I$  are order reversing and

$$ZI(Y) = \bar{Y}, \quad IZ(\mathfrak{a}) = \sqrt{\mathfrak{a}}.$$

So  $Z$  and  $I$  gives an one-to-one correspondence between the set of algebraic sets in  $\mathbf{A}^n$  and the set of radical ideals in  $k[x_1, \dots, x_n]$ . Under the above correspondence, the irreducible closed subsets are in one-to-one correspondence of prime in  $k[x_1, \dots, x_n]$ .

If  $Y \subset \mathbf{A}^n$  is an algebraic set, the **affine coordinate ring** of  $Y$  is defined to be  $A(Y) = k[x_1, \dots, x_n]/I(Y)$ . It is a finitely generated  $k$ -algebra with no non-zero nilpotent elements.

**Proposition. 1.5.** *In a noetherian topological space  $X$ , every non-empty closed subset  $Y$  can be expressed as a finite union of  $Y = Y_1 \cup \dots \cup Y_r$  of irreducible closed subsets  $Y_i$ . If we require  $Y_i$  does not contain  $Y_j$  for  $i \neq j$ , then the decomposition is unique.*

*Proof.* To prove the existence of the decomposition, let  $\mathcal{F}$  be the family of non-empty closed subsets that can't be decomposed. We want to prove  $\mathcal{F}$  is the empty family. Suppose  $\mathcal{F}$  is not empty, then  $\mathcal{F}$  has a minimal element  $C$  using the noetherian assumption. This leads easily a contraction. To prove the uniqueness, we may assume  $Y = X$  (otherwise, we consider  $Y$  instead of  $X$ ). Suppose  $X = Y_1 \cup \dots \cup Y_r$  is a decomposition of irreducible closed subsets with  $Y_i \not\subset Y_j$  for  $i \neq j$ . We prove that  $\{Y_1, \dots, Y_r\}$  is the set of maximal irreducible closed subsets in  $X$  (*this part of the argument is easier than the book*).

**Corollary. 1.6.** *Every algebraic set in  $\mathbf{A}^n$  can be expressed uniquely as a union of varieties, no one containing another.*

**Dimension of a topological space. Krull dimension of a ring. Height of a prime ideal.**

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**Theorem 1.8A.** *Let  $k$  be a field, and let  $B$  be an integral domain which is a finitely generated  $k$ -algebra. Then*

*(a) the dimension of  $B$  is equal to the transcendence degree of the quotient field  $K(B)$  of  $B$  over  $k$ .*

*(b) For every prime ideal  $\mathfrak{p}$  in  $B$ , we have*

$$\text{height } \mathfrak{p} + \dim B/\mathfrak{p} = \dim B.$$

**Proposition 1.13.** *A variety in  $\mathbf{A}^n$  has dimension  $n - 1$  iff it is the zero set  $Z(f)$  of a single non-constant irreducible polynomial in  $k[x_1, \dots, x_n]$ .*

**Section I.2. Projective Varieties.**

$k$ ; algebraically closed field.  $\mathbf{P}_k^n = \mathbf{P}^n = k^{n+1} - \{0\}/k^*$ .  $S = k[x_0, x_1, \dots, x_n]$ .

**Definition.** A subset  $Y$  of  $\mathbf{P}^n$  is an **algebraic set** if the zero set of a set  $T$  of homogeneous polynomials in  $S$ .

**Zariski topology. Projective varieties. Quasi-projective varieties.**

**Proposition 2.2.** *Let  $U_i$  ( $i = 0, \dots, n$ ) be the subset of  $\mathbf{P}^n$  consisting of the points with homogeneous coordinates  $(a_0, a_1, \dots, a_n)$  with  $a_i \neq 0$ . Then  $U_i$  is an open subset and the map  $\phi_i : U_i \rightarrow \mathbf{A}^n$  given by*

$$\phi_i : (a_0, a_1, \dots, a_n) \mapsto \left( \frac{a_0}{a_i}, \dots, \frac{a_{i-1}}{a_i}, \frac{a_{i+1}}{a_i}, \dots, \frac{a_n}{a_i} \right)$$

*is a homeomorphism.*

**Corollary 2.3.** *If  $Y$  is a projective (respectively, quasi-projective) variety, then  $Y$  is covered by the open subsets  $Y \cap U_i$ ,  $i = 0, 1, \dots, n$ , which are homeomorphic to affine (respectively, quasi-affine) varieties via the mapping  $\phi_i$  defined above.*

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## Section I.3. Morphisms.

**Definition.** Let  $Y$  be a quasi-affine variety in  $\mathbf{A}^n$  over the ground field  $k$ . A function  $f : Y \rightarrow k$  is **regular at a point**  $P \in Y$  if there is an open neighborhood  $U$  with  $p \in U \subset Y$ , and polynomials  $g, h \in k[x_1, \dots, x_n]$ , such that  $h$  is nowhere zeros on  $U$ , and  $f = g/h$  on  $U$ . We say that  $f$  is **regular on  $Y$**  if it is regular at every point of  $Y$ .

**Lemma 3.1.** *A regular function is continuous, where  $k$  is given the Zariski topology.*

**Definition.** Let  $Y$  be a quasi-projective variety in  $\mathbf{P}^n$  over the ground field  $k$ . A function  $f : Y \rightarrow k$  is **regular at a point**  $P \in Y$  if there is an open neighborhood  $U$  with  $p \in U \subset Y$ , and homogeneous polynomials  $g, h \in k[x_1, \dots, x_n]$  of the same degree, such that  $h$  is nowhere zeros on  $U$ , and  $f = g/h$  on  $U$ . We say that  $f$  is **regular on  $Y$**  if it is regular at every point of  $Y$ .

**Definition.** Let  $k$  be a fixed algebraically closed field. A **variety** over  $k$  is any affine, quasi-affine, projective, or quasi-projective variety. If  $X, Y$  are two varieties, a **morphism**  $\phi : X \rightarrow Y$  is a continuous map such that for every open set  $V \subset Y$ , and every regular function  $f : V \rightarrow k$ , the function  $f \circ \phi : \phi^{-1}(V) \rightarrow k$  is regular.

For an open subset  $U \subset Y$ , the ring of regular functions on  $U$  is denoted by  $\mathcal{O}(U)$ .

**Theorem 3.2.** *Let  $Y \subset \mathbf{A}^n$  be an affine variety with coordinate ring  $A(Y)$ . Then*

- (a)  $\mathcal{O}(Y)$  is isomorphic to  $A(Y)$ ;
- (b) for each point  $P \in Y$ , let  $\mathfrak{m}_P \subset A(Y)$  be ideal of functions vanishing at  $P$ . Then  $P \mapsto \mathfrak{m}_P$  gives a 1-1 correspondence between the points of  $Y$  and the maximal ideals of  $A(Y)$ ;
- (c) for each  $P$ ,  $\mathcal{O}_P$  is isomorphic to  $A(Y)_{\mathfrak{m}_P}$ ;
- (d)  $K(Y)$  is isomorphic to the quotient field of  $A(Y)$ , and hence  $K(Y)$  is a finitely generated extension field of  $k$  of transcendence degree equal to  $\dim Y$ .

*Proof.* The proof of (b) (c) (d) are straightforward. For one, we have an obvious injective  $k$ -algebra homomorphism  $A(Y) \rightarrow \mathcal{O}(Y)$ . And we have  $A(Y) \subset \mathcal{O}(Y) \subset K(Y) = \text{Frac } A(Y)$ . To prove  $A(Y) = \mathcal{O}(Y)$ , suppose  $f \in \mathcal{O}(Y)$ , for each point  $P \in Y$ , there is open neighborhood  $U_P$  containing  $P$  such that  $f|_{U_P} = a_P/b_P$  for  $a_P, b_P \in A(Y)$  and  $b_P$  never vanishes on  $U_P$ . Then  $\{U_P\}_{P \in Y}$  is an open cover of  $Y$ , so it has a finite cover  $Y = U_{P_1} \cup \dots \cup U_{P_n}$ . And  $f|_{U_{P_i}} = a_i/b_i$ ,  $b_i$  never vanish on  $U_{P_i}$ . Since  $Y = \cup_{i=1}^n U_{P_i}$ ,  $(b_1, \dots, b_n) = A(Y)$ , so  $b_1 h_1 + \dots + b_n h_n = 1$  for some  $h_1, \dots, h_n \in A(Y)$ . Assume  $h_1, \dots, h_n$  are all non-zero (it should be clear how to proceed if this assumption is not satisfied),  $f = \frac{a_1}{b_1} = \dots = \frac{a_n}{b_n}$  as an element in  $K(Y)$ . It follows that  $f = \frac{a_1 h_1}{b_1 h_1} = \dots = \frac{a_n h_n}{b_n h_n}$ . So

$$f = \frac{a_1 h_1 + \dots + a_n h_n}{b_1 h_1 + \dots + b_n h_n} = a_1 h_1 + \dots + a_n h_n \in A(Y).$$

□

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**Proposition 3.3.** *Let  $U_i \subset \mathbf{P}^n$  be the open set defined by the equation  $x_i \neq 0$ . Then the mapping  $\phi_i : U_i \rightarrow \mathbf{A}^n$ ,*

$$[x_0, x_1, \dots, x_n] \mapsto (x_0/x_i, \dots, x_{i-1}/x_i, x_{i+1}/x_i, \dots, x_n/x_i)$$

*is an isomorphism of varieties.*

**Theorem 3.4.** *Let  $Y \subset \mathbf{P}^n$  be a projective variety with homogeneous coordinate ring  $S(Y)$ . Then:*

(a)  $\mathcal{O}(Y) = k$ ;

(b) *for any point  $P \in Y$ , let  $\mathfrak{m}_P \subset S(Y)$  be the ideal generated by the set of homogeneous  $f \in S(Y)$  such that  $f(P) = 0$ . Then  $\mathcal{O}_P = S(Y)_{(\mathfrak{m}_P)}$ ;*

(c)  $K(Y) = S(Y)_{(0)}$ .

**Proposition 3.5.** *Let  $X$  be any variety and  $Y$  be an affine variety. Then there is a natural bijective mapping of sets*

$$\alpha : \text{Hom}(X, Y) \rightarrow \text{Hom}(A(Y), \mathcal{O}(X))$$

$\alpha$  is the obvious map. The inverse of  $\alpha$  is the following: let  $Y \subset k^n$  with  $A(Y) = k[x_1, \dots, x_n]/I$ , we write  $\bar{x}_i$  for  $x_i + I$ . If  $h \in \text{Hom}(A(Y), \mathcal{O}(X))$ , then  $h(\bar{x}_1), \dots, h(\bar{x}_n) \in \mathcal{O}(X)$ . We define a map  $\psi : X \rightarrow k^n$  as follows, for  $p \in X$ ,

$$\psi(p) = (h(\bar{x}_1)(p), \dots, h(\bar{x}_n)(p)).$$

It is easy to see  $\text{Im } \psi \in Y$  and by the following lemma,  $\psi$  is a morphism of varieties.

**Lemma 3.6.** *Let  $X$  be any variety,  $Y \subset k^n$  be an affine variety, a map of sets  $\psi : X \rightarrow Y$  is a morphism of variety iff  $x_i \circ \psi$  is a regular function on  $X$  for  $i = 1, \dots, n$ .*

**Corollary 3.7.** *Two affine varieties  $X$  and  $Y$  are isomorphic iff  $A(X)$  and  $A(Y)$  are isomorphic as  $k$ -algebras.*

**Corollary 3.7.** *The category of affine varieties and the category of finitely generated integral domains over  $k$  are anti-equivalent. The equivalence is given as  $Y \mapsto A(Y)$ .*

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## Section I.4. Rational Maps.

**Corollary 3.7.** *Let  $X$  and  $Y$  be varieties, and let  $\phi, \psi : X \rightarrow Y$  be morphisms, suppose  $\phi|_U = \psi|_U$  in some non-empty open set  $U \subset X$ , then  $\phi = \psi$ .*

The proof uses the variety structure on  $P^n \times P^n$ .

Let  $X, Y$  be varieties, a **rational map**  $\phi : X \rightarrow Y$  is an equivalence class of pairs  $(U, \phi)$ , where  $U \subset X$  is a non-empty subset,  $\phi$  is a morphism of  $U$  to  $Y$ . The pairs  $(U_1, \phi_1)$  and  $(U_2, \phi_2)$  are equivalent iff  $\phi_1|_{U_1 \cap U_2} = \phi_2|_{U_1 \cap U_2}$ . The rational map represented by  $(U, \phi)$  is **dominant** if  $\text{Im } \phi$  is dense in  $Y$ .

We need to justify the definition by proving that for any non-empty open subset  $V \subset U$ ,  $\overline{\phi(V)} = Y$ . This can be proved as follows. If  $\overline{\phi(V)} \neq Y$ , then  $\phi^{-1}(Y - \overline{\phi(V)})$  and  $V$  are disjoint non-empty open subsets of  $U$ , which contradicts the irreducibility of  $U$ .

Let  $X, Y$  be varieties, a **birational map** is a rational map  $\phi : X \rightarrow Y$  that has an inverse.

**Lemma 4.2.** *Let  $Y$  be a hypersurface in  $A^n$  given by the equation  $f(x_1, \dots, x_n) = 0$ , Then  $A^n - Y$  is isomorphic to the hypersurface  $H$  in  $A^{n+1}$  given by the equation  $x_{n+1}f = 1$ . In particular,  $A^n - Y$  is affine with its affine ring isomorphic to  $k[x_1, \dots, x_n]_f$ .*

**Proposition 4.3.** *On any variety, there is a base for the topology consisting of open affine subsets.*

### Section I.5. Non-Singular Varieties.

**Definition.** Let  $Y \subset A^n$  be an affine variety, and let  $f_1, \dots, f_k \in k[x_1, \dots, x_n]$  be a set of generators for the ideal of  $Y$ .  $Y$  is **nonsingular at**  $P \in Y$  if the rank of the matrix  $\{\frac{\partial f_i}{\partial x_j}(P)\}$  is  $n - r$ , where  $r = \dim Y$ .  $Y$  is **non-singular** if it is non-singular at every point.

**Definition.** Let  $A$  be a Noetherian local ring with maximal ideal  $\mathfrak{m}$  and residue field  $A/\mathfrak{m} = k$ ,  $A$  is a **regular local ring** if  $\dim_k \mathfrak{m}/\mathfrak{m}^2 = \dim A$ .

**Theorem 5.1.** *Let  $Y \subset A^n$  be an affine variety. Let  $P \in Y$  be a point. Then  $Y$  is nonsingular at  $P$  iff the local ring  $\mathcal{O}_{P,Y}$  is a regular local ring.*

*Sketch of Proof.* Let  $P = (a_1, \dots, a_n) \in Y \subset A^n$ . Let  $\mathfrak{a}_P = \{f(x) \in k[x_1, \dots, x_n] \mid f(P) = 0\}$ . Then  $\mathfrak{a}_P = (x_1 - a_1, \dots, x_n - a_n)$ . We define a linear map  $\theta : \mathfrak{a}_P/\mathfrak{a}_P^2 \rightarrow k^n$  by

$$\theta(f + \mathfrak{a}_P^2) = \left( \frac{\partial f}{\partial x_1}(P), \dots, \frac{\partial f}{\partial x_n}(P) \right).$$

It is easy to see that  $\theta$  is an isomorphism. Let  $\mathfrak{b}$  be the ideal of  $y$ ,  $A = k[x_1, \dots, x_n]/\mathfrak{b}$ . The maximal ideal of  $P$  in  $A$  is  $\mathfrak{m} = \mathfrak{a}_P/\mathfrak{b}$ . We have the exact sequence of vector spaces over  $k$ :

$$0 \rightarrow \mathfrak{b}/\mathfrak{b} \cap \mathfrak{a}_P^2 \rightarrow \mathfrak{a}_P/\mathfrak{a}_P^2 \rightarrow \mathfrak{m}/\mathfrak{m}^2 \rightarrow 0.$$

Under the isomorphism of  $\theta$ ,

$$\dim_k \theta(\mathfrak{b}/\mathfrak{b} \cap \mathfrak{a}_P^2) = \text{rank} \left( \frac{\partial f_i}{\partial x_j}(P) \right).$$

So

$$\text{rank} \left( \frac{\partial f_i}{\partial x_j}(P) \right) = n - r$$

iff  $\dim_k \mathfrak{m}/\mathfrak{m}^2 = r$  iff the local ring  $A_{\mathfrak{m}}$  is regular. □

**Definition.** Let  $Y$  be any variety,  $P \in Y$  is called a **nonsingular point** if the local ring  $\mathcal{O}_{P,Y}$  is regular.  $Y$  is **nonsingular** if every point in  $Y$  is nonsingular.  $Y$  is **singular** if it is not nonsingular.

**Proposition 5.2A.** *If  $A$  is a Noetherian local ring with maximal ideal  $\mathfrak{m}$  and residue field  $k$ , then  $\dim_k \mathfrak{m}/\mathfrak{m}^2 \geq \dim A$ .*

**Theorem 5.3.** *Let  $Y$  be a variety, then the set  $\text{Sing } Y$  of singular points of  $Y$  is a proper closed subset of  $Y$ .*

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## Section I.6. Non-Singular Curves.

Let  $C$  be a nonsingular projective curve over  $k$ . The function field  $K(C)$  is a finitely generated field extension over  $k$  with transcendence degree 1.

For example. If  $C \subset \mathbf{P}^2$  is given by  $y^2z - (x^3 + axz^2 + bz^3) = 0$ . Suppose the equation  $x^3 + ax + b = 0$  has no repeated roots, then  $C$  is non-singular. The function field  $K(C)$  is isomorphic to the fraction field of the integral domain

$$k[x, y]/(y^2 - (x^3 + ax + b)).$$

$K(C)$  is the extension of  $k$  by the generators  $x, y$  and  $x, y$  satisfies the relation

$$y^2 = x^3 + ax + b.$$

$k(x) \subset K(C)$ .  $K(C) = k(x)(y)$ ,  $y$  is algebraic over  $k(x)$ . So the transcendence degree of  $K(C)$  over  $k$  is 1.

One of the main results (Theorem 6.9) of this section is the converse of the above. For a finitely generated extension  $K$  over  $k$  with transcendence degree 1, then there exists a nonsingular projective curve  $C$  over  $k$  such that the function field  $K(C)$  is isomorphic to  $K$ . This  $C$  is unique up to isomorphism.

For example, for  $K = k(x)$ , the corresponding curve is  $\mathbf{P}^1$ .

If  $\phi : C_1 \rightarrow C_2$  is a morphism of curves that is not a constant map, then  $\phi$  induces a morphism of fields  $\phi^* : K(C_2) \rightarrow K(C_1)$ .  $\phi^*$  a finite field extension over  $k$ . Conversely every finite field extension  $f : K(C_2) \rightarrow K(C_1)$  is  $\phi^*$  for a unique morphism  $\phi : C_1 \rightarrow C_2$ .



## Section I.7. Intersections in Projective Spaces.

**Proposition 7.1**(Affine Dimension Theorem). *Let  $Y, Z$  be varieties of dimensions  $s, r$  in  $\mathbf{A}^n$ . Then each irreducible components of  $Y \cap Z$  has dimensional  $\geq s + r - n$ .*

*Proof.* We may assume  $Y, Z$  are affine varieties in  $\mathbf{A}^n$ . Step 1. Prove the case that  $Z$  is a hypersurface in  $\mathbf{A}^n$ , i.e.,  $Z = Z(f)$  for some  $f \in k[x_1, \dots, x_n]$ . Let  $A(Y)$  be the affine coordinate ring of  $Y$ , then the irreducible components of  $Y \cap Z$  corresponds to the minimal primes ideals in  $A(Y)/(f)$ . By Theorem 1.11A, each such minimal prime ideal has height one. The apply Theorem 1.8A. Second step. Let  $Y \times Z$  embedded to  $\mathbf{A}^{2n}$ . We notice that  $Y \cap Z$  is isomorphic to  $Y \times Z \cap \Delta$ , where  $\Delta \stackrel{\text{def}}{=} \{((P, P) \in \mathbf{A}^{2n} \mid P \in \mathbf{A}^n)\}$ .  $\Delta$  is given by the equation  $x_1 - y_1 = 0, \dots, x_n - y_n = 0$ .  $\square$

**Proposition 7.2**(Projective Dimension Theorem). *Let  $Y, Z$  be varieties of dimensions  $s, r$  in  $\mathbf{P}^n$ . Then each irreducible components of  $Y, Z$  has dimensional  $\geq s + r - n$ . Furthermore, if  $s + r - n \geq 0$ , then  $Y \cap Z$  is non-empty.*

*Proof.* The dimension inequality follows from the affine case. If  $s + r - n \geq 0$ . let  $C(Y), C(Z) \subset \mathbf{A}^{n+1}$  be the cone of  $Y, Z$  respectively. Then  $\dim C(Y) = \dim Y + 1 = s + 1$ ,  $\dim C(Z) \geq \dim Z + 1 = r + 1$  (this can be proved using the chain of irreducible subsets, for any chain  $Y_0 \subset \dots \subset Y_s = Y$ , we have the chain  $\{0\} \subset C(Y_0) \subset \dots \subset C(Y_s) = C(Y)$ ). So each irreducible components of  $C(Y) \cap C(Z)$  has dimension  $\geq s + 1 + r + 1 - (n + 1) \geq 1$ . But  $C(Y) \cap C(Z)$  is not empty as contains 0.  $\square$

**Definition.** A **numerical polynomial** is a polynomial in  $P(z) \in \mathbb{Q}[x]$  such that  $P(n) \in \mathbb{Z}$  for  $n$  sufficiently large.

**Proposition 7.3.** (a). *If  $P(x)$  is a numerical polynomial, then  $P(x)$  can be written as*

$$P(z) = c_r \binom{z}{r} + \dots + c_1 \binom{z}{1} + c_0$$

*for some  $r$  and integers  $c_0, c_1, \dots, c_r \in \mathbb{Z}$ . In particular  $P(n) \in \mathbb{Z}$  for all  $n \in \mathbb{Z}$ .*

(b). *If  $f : \mathbb{Z} \rightarrow \mathbb{Z}$  be a map such that  $\Delta f(n) = f(n + 1) - f(n) = Q(n)$  for  $n \gg 0$  for some numerical polynomial  $Q$ , then there is a numerical polynomial  $P$  such that  $f(n) = P(n)$  for  $n \gg 0$ .*

Let  $S$  be a graded ring,  $M$  be a graded  $S$ -module, for every integer  $l$  we denote  $M(l)$  the same  $M$ -module but with gradation  $M(l)_d = M_{d+l}$ .

**Proposition 7.4.** *Let  $M$  be a finitely generated graded module over a noetherian graded ring  $S$ . Then there exists a a filtration of  $0 = M^0 \subset M^1 \subset \dots \subset M^r = M$  by graded submodules, such that for each  $i$ ,  $M^i/M^{i-1} \simeq (S/\mathfrak{p}_i)(l_i)$ , where  $\mathfrak{p}_i$  is a homogeneous ideal of  $S$  and  $l_i \in \mathbb{Z}$ . The filtration is not unique, but for any such filtration we have*

(a) *if  $\mathfrak{p}$  is homogeneous prime homogeneous ideal of  $S$ , then  $\mathfrak{p} \supseteq \text{Ann } M$  if and only  $\mathfrak{p} \supseteq \mathfrak{p}_i$  for some  $i$ . In particular the minimal elements of the set  $\{\mathfrak{p}_1, \dots, \mathfrak{p}_r\}$  are just the minimal primes of  $M$ , i.e., the primes which minimal containing  $\text{Ann } M$ .*

(b) *for each minimal prime ideal  $\mathfrak{p}$  of  $M$ , the numner of times which  $\mathfrak{p}$  occurs in the set  $\{\mathfrak{p}_1, \dots, \mathfrak{p}_r\}$  is equal to the length of  $M_{\mathfrak{p}}$  over  $\mathfrak{S}_{\mathfrak{p}}$  and hence is independent of the filtration.*

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*Proof.* The existence of the filtration follows from the book (using the assumption that  $S$  and  $M$  are noetherian.) (a) First we note that  $\text{Ann } M \subset \mathfrak{p}_1 \cap \cdots \cap \mathfrak{p}_r$ . And if  $a_i \in \mathfrak{p}_i$ ,  $i = 1, \dots, r$ , then  $a_1 \cdots a_r \in \text{Ann } M$ . If  $\mathfrak{p} \supseteq \text{Ann } M$ , we prove  $\mathfrak{p} \supseteq \mathfrak{p}_i$  for some  $i$ . Suppose this is not true, we can find, for each  $i$ ,  $a_i \in \mathfrak{p}_i$ , but  $a_i \notin \mathfrak{p}$ . then  $a_1 \cdots a_r \in \text{Ann } M$ ,  $a_1 \cdots a_r \notin \mathfrak{p}$ , contradicts to  $\mathfrak{p} \supseteq \text{Ann } M$ . If  $\mathfrak{p} \supseteq \mathfrak{p}_i \supset \mathfrak{p}_1 \cap \cdots \cap \mathfrak{p}_r \supset \text{Ann } M$ .

**Theorem 7.5.** (Hilbert-Serre) (1) Let  $M$  be a finitely generated graded  $S = k[x_0, x_1, \dots, x_n]$ -module. Let  $\phi_M(l) = \dim_k M_l$ , then there is a unique polynomial  $P_M(z) \in \mathbb{Q}[z]$  such that  $\phi_M(l) = P_M(l)$  for all  $l \gg 0$ . (2) Further more,  $\deg P_M(z) = \dim Z(\text{Ann } M)$ , where  $Z(\text{Ann } M)$  is the zero set of the homogeneous ideal  $\text{Ann } M$  in  $\mathbb{P}^n(k)$ .

*Proof.* First we note that for an exact sequence  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$ , we have

$$\text{Ann}(M')\text{Ann}(M'') \subset \text{Ann}(M) \subset \text{Ann}(M') \cap \text{Ann}(M'')$$

This implies that  $Z(\text{Ann}(M)) = Z(\text{Ann}(M_1)) \cup Z(\text{Ann}(M_2))$ , so

$$\dim Z(\text{Ann}(M)) = \max(\dim Z(\text{Ann}(M_1)), \dim Z(\text{Ann}(M_2))).$$

It is enough to prove the case  $M = S/\mathfrak{p}(l)$  for some homogeneous prime ideal  $\mathfrak{p}$ . It is easy to prove the case  $M = S/\mathfrak{p}$ , where  $\text{Ann}(M) = \mathfrak{p}$ . We may assume  $\mathfrak{p} \neq (x_0, \dots, x_n)$ . Choose  $x_i \notin \mathfrak{p}$ . We have the exact sequence

$$0 \rightarrow M(1) \rightarrow M \rightarrow M'' = M/x_i M \rightarrow 0$$

where the first map is  $a \mapsto x_1 a$ .

$$\dim(M/x_i M)_l = \dim M_l - \dim M_{l-1}$$

Using induction assumption,  $\dim(M/x_i M)_l = \phi_{M''}(l)$  for numerical polynomial  $P_{M''}(l)$  for  $l$  large, so  $\dim M_l = P_M(l)$  is also a numerical polynomial  $P_M$  for  $l$  large. We have  $P_{M''}(l) = P_M(l) - P_M(l-1)$ . So  $\deg P_{M''} = \deg P_M - 1$ .  $\text{Ann}(M') = (x_i, \mathfrak{p})$ , so  $Z(\text{Ann}(M')) = Z(\text{Ann}(M)) \cap Z((x_i))$ .  $\square$

**Definition.** The polynomial of the theorem is the **Hilbert polynomial** of  $M$ .

**Definition.** If  $Y \subset \mathbf{P}^n(k)$  is an algebraic set of dimension  $r$ , let  $P_Y$  be the Hilbert polynomial of the homogeneous coordinate ring of  $Y$ , then  $\deg P_Y = r$ . We define the **degree** of  $Y$  to be  $r!$  times the leading coefficient of  $P_Y$ .

**Proposition 7.6.**

- (a) If  $Y \subset \mathbf{P}^n$ ,  $Y$  is not empty, then the degree  $Y$  is a positive integer.
- (b) If  $Y = Y_1 \cup Y_2$ , where  $Y_1$  and  $Y_2$  have the same dimension  $r$ , and  $\dim Y_1 \cap Y_2 < r$ , Then  $\deg Y = \deg Y_1 + \deg Y_2$ .
- (c)  $\deg \mathbf{P}^n = 1$
- (d) If  $H \subset \mathbf{P}^n$  is a hypersurface whose ideal is generated by a homogeneous polynomial of degree  $g$ , then  $\deg Y = d$ .

*Proof.* (a) (c) (d) are proved by direct computations. For (b), we use the exact sequence

$$0 \rightarrow S/I_1 \cap I_2 \rightarrow S/I_1 \oplus S/I_2 \rightarrow S/(I_1 + I_2) \rightarrow 0.$$

$\square$

# SUMMARY OF CHAPTER I OF HARTSHORNE'S "ALGEBRAIC GEOMETRY" 11

Let  $Y \subset \mathbf{P}^n$  be a projective variety, and let  $H \subset \mathbf{P}^n$  be a hypersurface not containing  $Y$ ,  $I(H) = (f)$ ,  $\deg f = \deg H$ . Then  $Z(I_Y + I_H) = Y \cap H$ . Notice that  $I_Y + I_H \subset I_{Y \cap H}$ .  $Y \cap H = Z_1 \cup Z_2 \cup \cdots \cup Z_s$ ,  $Z_i$ 's are the set of irreducible components of  $Y \cap H$ . Let  $\mathfrak{p}_j$  be the prime ideal of  $Z_j$ . The **intersection multiplicity** is defined as

$$i(Y, H; Z_j) = \mu_{\mathfrak{p}_j}(S/(I_Y + I_H)).$$

**Theorem 7.7.** *Let  $Y$  be a variety of dimension  $\geq 1$  in  $\mathbf{P}^n$ , and let  $H$  be a hypersurface not containing  $Y$ . Let  $Z_1, \dots, Z_s$  be the irreducible components of  $Y \cap H$ . Then*

$$(\deg Y)(\deg H) = \sum_{j=1}^s i(Y, H; Z_j) \cdot \deg Z_j$$

*Proof.* Let  $(f) = I_H$ , consider the following exact sequence of graded  $S$ -modules

$$0 \longrightarrow S/I_Y(-d) \xrightarrow{f} S/I_Y \longrightarrow M \stackrel{\text{def}}{=} S/(I_Y + I_H) \longrightarrow 0$$

Let  $P_Y$  be the Hilbert polynomial for  $S/I_Y$ , similar meaning for  $P_M$ . Then we have

$$P_M(z) = P_Y(z) - P_Y(z - d).$$

$\deg P_Y = \dim Y = r$ . Compare the coefficient of  $t^{r-1}$  in the above identity, we prove the identity in the theorem.

**Corollary 7.8.** (Bezout Theorem) *Let  $Y, Z$  be distinct curves in  $\mathbf{P}^2$ , having degree  $d, e$ . Let  $Y \cap Z = \{P_1, \dots, P_s\}$ , then*

$$\sum_{j=1}^s i(Y, Z; P_j) = de.$$

**Summary.**  $S = k[x_0, \dots, x_n]$ .

(1) Any finitely graded  $S$ -module  $M$  has a filtration of graded submodules

$$0 = M^0 \subset M^1 \subset \cdots \subset M^r = M$$

such that  $M^i/M^{i-1} \cong S/\mathfrak{p}_i[l_i]$  for some homogeneous prime ideal  $\mathfrak{p}_i$ . The minimal members in the list  $\{\mathfrak{p}_1, \dots, \mathfrak{p}_r\}$  is the same as the minimal prime ideals containing  $\text{Ann}(M)$ . The multiplicity of a minimal prime containing  $\text{Ann}(M)$  in the list  $\{\mathfrak{p}_1, \dots, \mathfrak{p}_r\}$  is independent of the filtration.

(2). For finitely graded  $S$ -module  $M$ , there is a polynomial  $P_M(z)$  such that  $P_M(l) = \dim M_l$  for  $l \gg 0$ .

(3). When  $M = S/I_Y$ , where  $Y$  is an algebraic set in  $\mathbf{P}^n$  (not necessarily irreducible), we write  $P_Y = P_{S/I_Y}$ . then  $\dim Y = \deg P_Y$ . Suppose  $\dim Y = r$ . The  $\deg Y$  is defined to be the  $r!$  times the leading coefficient of  $P_Y(z)$ .

(4) For a homogeneous ideal  $I \subset S$  (not necessarily prime), The minimal primes containing  $I = \text{Ann}(S/I)$  corresponds to the irreducible components of  $Z(I)$ .

# SUMMARY OF CHAPTER I OF HARTSHORNE'S "ALGEBRAIC GEOMETRY"

The remaining part of this section is a proof of Pascal Hexagon Theorem. We need to extend Bezout Theorem.

A **generalized curve** in  $\mathbf{P}^2$  is the zero set  $C$  in  $\mathbf{P}^2$  given by a homogeneous polynomial  $f = f_1 \cdots f_m$  (product of distinct irreducible polynomials). So  $C = C_1 \cup \cdots \cup C_m$ ;  $C_1, \dots, C_m$  are curves.

$$\deg C \stackrel{\text{def}}{=} \deg f = \deg C_1 + \dots + \deg C_m.$$

If we have another generalized curve  $D = D_1 \cup \cdots \cup D_m$ ,  $P \in C \cap D$ , we define

$$i(C, D, P) = \sum_{k=1, \dots, m; j=1, \dots, n} i(C_k, D_j, P).$$

The Bezout Theorem implies that

$$\sum_P i(C, D; P) = (\deg C) (\deg D).$$

**Theorem.** *Let  $C_1$  and  $C_2$  be two  $g$ -curves of degree  $n$  in  $\mathbf{P}^2$  which intersect at  $n^2$  points. Assume exactly  $nl$  ( $l < n$ ) of them lie in an irreducible curve  $E$  of degree  $l$ . Then the remaining  $n(n-l)$  of points lie on a  $g$ -curve of degree at most  $n-l$ .*

*Proof.* Let  $C_1, C_2, E$  has equations  $f_1(x, y, z), f_2(x, y, z), g(x, y, z)$ , choose  $P = (a, b, c) \in E \in C_1 \cap C_2$ . Let

$$S(x, y, z) \stackrel{\text{def}}{=} f_1(a, b, c)f_2(x, y, z) - f_2(a, b, c)f_1(x, y, z).$$

$S(x, y, z) = 0$  gives a generalized curve  $F$ .  $E \cap F$  contains at least  $nl+1$  points  $(C_1 \cap C_2 \cap E) \cup \{P\}$ . So by Bezout Theorem  $E$  is a component of  $F$ . So  $S(x, y, z) = g(x, y, z)h(x, y, z)$ . The generalized curve  $h(x, y, z) = 0$  has degree  $\leq n-l$  and contains the other  $n(n-l)$ -points.

**Theorem. (Pascal's Mystic Hexagon)** *Consider a hexagon inscribed in an irreducible conic in  $\mathbf{P}^2$ , then the three pairs of opposite sides of it meet in three collinear points.*

## REFERENCES

[Ha] R. Hartshorne, Algebraic Geometry.